|  |
| --- |
| **Request for Application** |
| Continuation Grant for  Regional Collaboratives  Logo  Description automatically generated  **Governor’s Office of Early Childhood**  **Mission Statement**  Our Mission is to provide leadership and direction for the Commonwealth with the goal of maintaining a comprehensive and sustainable prenatal to age five early childhood educational system that ensures a strong foundation for all children. The Governor’s Office of Early Childhood 5-year Strategic Plan is used as the ‘road-map’ to facilitate collective commitment across the state to increase access and opportunity for children.  The goals of the Kentucky Governor’s Office of Early Childhood include:   * Promoting Greater Collaboration Among Communities Statewide * Emphasizing Quality and Continuous Improvement * Ensuring More Children Enter Kindergarten Prepared |

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**COLLABORATIVES MAY SUBMIT ONLY ONE APPLICATION. COUNTIES ARE REQUIRED TO COLLABORATE AS ONLY ONE APPLICATION PER REGION WILL BE CONSIDERED. IF MULTIPLE APPLICATIONS ARE RECEIVED (OR APPLICATIONS IN WHICH COUNTIES SUBMIT DIFFERENT STRATEGIC WORKSHEETS AND BUDGETS, ETC.) THE COLLABORATIVE’S APPLICATION WILL BE RETURNED FOR REVISION.**

**The application’s format allows for different strategies by county within one single and unified application**

In 2019, the Early Childhood Advisory Council voted to merge the community early childhood councils to form Regional Collaboratives. These regional partnerships are based upon geographic location and align with Kentucky’s 10 workforce areas. Collectively, the Regional Collaboratives are referred to as the Kentucky Network of Regional Collaboratives, which is led by the Governor’s Office of Early Childhood.

Community Early Childhood Councils are being referred to as Regional Collaboratives in the application and the terms are interchangeable. There was an evaluation study completed that identified benefits for the regional model, which include:

* Leverage new funding
* Cross-sector engagement
* Peer-to-peer support opportunities
* Training and Technical Assistance
* Evidence-Based Family Engagement Models
* Data Collection and Analysis and General Data Literacy
* Having the opportunity to move from one day activities to long-term behavior change.

Regional Collaboratives are tasked with uniting organizations in local communities to address needs during the critical early years of a child’s life. The Kentucky Regional Collaborative Network:

**1.** Aligns birth-5 programs and strategies, reduces duplication, and leverages additional resources to ensure children in their community have a strong start.

**2.** Collaborates with the Kentucky Center for Statistics (KYSTATS) on Early Childhood Profiles to connect prevention services along the birth-5 pathway to increase access and opportunity.

**3.** Invests in data-driven solutions through local innovation and decision-making to grow Kentucky’s Bright Spots.

**4.** Effects change to make measurable progress toward locally defined community-wide goals.

**CALL FOR APPLICATIONS**

As authorized under KRS 200.707(1), the Early Childhood Advisory Council (ECAC) is issuing this Request for Applications (RFA) from Regional Collaboratives.

This RFA will be used to award two-year grants to applicants who comply with all application requirements. The grant period is July 1, 2022 – June 30, 2024. Funding will be released incrementally throughout the two-year grant cycle as determined by the Governor’s Office of Early Childhood based and set forth in the contract with the Regional Collaborative grant recipient.

**Current Proposal of Funding:**

**July 2022 – Release of first round of funds**

**July 2023 – Release of second round of funds**

***All funds must be spent by June 30, 2024***

The Governor's Office of Early Childhood reserves the right to cancel the selection process at any time for any reason. Funding is wholly contingent upon the availability of Tobacco Settlement monies.

**APPLICATION REQUIREMENTS**

**Applications shall be submitted electronically to** [**eccouncil@ky.gov**](mailto:eccouncil@ky.gov)

The deadline for submitting completed applications is **April 30, 2022, at 11:59 p.m**. No applications or supporting documents will be accepted after this deadline unless returned for revisions by the Governor’s Office of Early Childhood.

Please keep each email with application documents under 8MB. All documents must be in PDF format. Multiple emails can be sent with application documents, if needed. Please identify the name of your Collaborative in each email’s subject line.

Applications shall include each item in the checklist below. Incomplete applications will be deemed ineligible.

**Checklist for the FY2023-2024 Regional Collaborative Request for Application**

|  |  |
| --- | --- |
| **Required Components** | Completed |
| Signed Cover Page |  |
| IRS Letter documenting 501c3 status (if applicable) |  |
| Service Area Needs Assessment Table |  |
| Action Plan Worksheet |  |
| Budget Proposal Worksheet |  |
| Conflict of Interest Forms |  |
| Commitment to Reporting Form |  |
| Member List |  |
| Invoice Template (as needed) |  |

Application Timeline

|  |  |
| --- | --- |
| **Activity** | **Date** |
| RFA Released | 3/21/2022 |
| Complete Application Due to Governor’s Office of Early Childhood – electronically | 4/30/2022 |
| Preliminary Notice of Award | 5/10/2022 |
| Original Signed Contracts, Affidavits and 990 Forms due via email and mail | 6/1/2022 |
| Expected Contract Start Date | 7/1/2022 |

# Eligible Applicants

Applicants are required to apply regionally. Counties may only participate in one application, and no counties may apply outside of the Collaboratives identified in Appendix A on pages 21 – 22.

# Mandatory Collaborative Requirements

Applicants will be required to complete an application including, but not limited to, timelines, goals, performance indicators, and resources needed to complete the project.

Applicants are required to submit a quarterly report, utilizing the templates provided by the Kentucky Governor’s Office of Early Childhood.  According to KRS 200.707, Collaboratives must submit an annual report that details activities and services.  The quarterly report is required by this request for application.  The final report shall serve as the annual report, in accordance with KRS 200.707.

Minutes from meetings (utilizing template provided by Kentucky Governor’s Office of Early Childhood) shall be submitted to the Governor's Office of Early Childhood as required in 10 KAR 6:010 Section 5(c).

If an application is received for a geographic area that is not a designated Council (see Appendix A on pages 21 – 22), the application will be disqualified.

Per KRS 200.707(1), “A council shall be composed of no fewer than seven (7) and no more than twenty-seven (27) members. Each council shall be composed of at least one (1) member representing local agencies or organizations from profit, nonprofit or family childcare, Head Start or Early Head Start and each school district in its designated service area. Other members may be appointed who represent local agencies and organizations, including, but not limited to, the organizations or agencies listed:

(a) Early childhood advocate

(b)   Faith community

(c)   Family resource center

(d)   Military establishment

(e)   Child-care resource and referral agency or child-care subsidy agent

(f) Child-care consumer or parent

(g)   County cooperative extension service

(h)   Department for public health

(i) University, college, or technical school

(j) United Way

(k)   Kentucky Early Intervention System

(l) Agency administering services to children with disabilities

(m)  Home visitation agency

(n) Family literacy agency

(o)   Civic organization

(p)  Public library

(q)   Regional training center

(r) Community action agency

(s) Government

(t) Business community

(u)   Home schooling association

(v)   Health care professional

(w)  Foster care parent; or

(x)   Adoptive parent.”

In accordance with KRS 200.707(2), “Members shall serve on a community early childhood council on a voluntary basis and receive no compensation or expense reimbursement for their service.”

Collaboratives may spend up to 5% of awarded funds to contract with a project coordinator to manage the grant.  In accordance with KRS 200.707, the project coordinator may not serve as a member of the Collaborative or be responsible for fiscal or programmatic oversight of these funds.  All employees or independent contractors must adhere to state and federal law.  For more information, refer to KRS 337.295.

Collaborative Chair Responsibilities

The Collaborative Chair/Co-Chair(s) is responsible for:

* The submission of the grant application to include required Member Lists and Conflict of Interest documents
* Programmatic oversight of the awarded grant funding
* Convening of Collaborative meetings, ensuring all Collaborative members have the opportunity to participate in each Collaborative meeting (e.g., providing access via conference call or webinar if needed)
* Compliance with required reporting timelines, which includes the quarterly report
* Submission of monthly meeting minutes to the Governor's Office of Early Childhood (using approved template)
* Completing and submitting contact information for publication on the Governor's Office of Early Childhood’s website
* Notification to the Governor's Office of Early Childhood office prior to a change in the Collaborative’s Chair/Co-Chair(s), Fiscal Agent, or members and/or their contact information.

# Fiscal Agent Requirements

 All applicants must have a designated fiscal agent:

* Collaboratives must identify a governmental, quasi-governmental, or non-profit agency to serve as the fiscal agent.  This fiscal agent will serve as the controller of awarded funds and be responsible for all budget and reporting requirements.  The fiscal agent is the legal applicant and responsible for fiscal and programmatic oversight.
* A Fiscal Agent serving as a Collaborative member, must complete Section 2 of the Conflict-of-Interest Form (see Page 16) disclosing his/her role as Fiscal Agent.
* The maximum amount a fiscal agent or Collaborative project coordinator may use for administrative costs is five percent (5%) of the total amount of the grant award.

Maximum Grant Award Amount by County

For reference, each county is listed with their Education and Work Force Development Area.

Maximum amounts subject to change according to availability of funds.

A funding formula was adopted based on total child population and child population in poverty with a cap of $50,000 per county. The formula is: 35% based on under age 5 population, 45% based on under age 5 population living in poverty, and 20% split equally across counties (Data estimates for 2018 were utilized for these calculations). \* Maximum grant award amounts are listed in Appendix B by county.

To determine a maximum Regional Collaborative grant award, maximum award amounts for each county within the Regional Collaborative that are participating should be totaled.

**Take your total for your Regional Collaborative and times the amount by three (3) for budget proposals.**

# Use of Funds

Funds shall be used to supplement, not supplant other funds.  Collaboratives can, and are required to, seek out additional funding sources and in-kind donations within their community to maximize the impact of the activities the collaborative chooses to help prepare children for kindergarten.

## Unallowable Expenses: (**Per Statue 200.707)**

● Administrative costs that exceed five percent (5%)

* Payments to collaborative members. Members shall serve on a Regional Collaborative on a voluntary basis and receive no compensation or expense reimbursement for their service

# Notice of Award

Regional Collaboratives will receive preliminary notice of award on or around May 10, 2022. Grant recipients will be required to execute a Memorandum of Agreement (MOA), a contract setting forth the legal terms of the grant award. Specific instructions about executing the MOA and establishing an account for grant payments will be provided with the Notice of Award.

Grant recipients shall not make any grant expenditures until the MOA is fully executed and approved by the Kentucky Finance and Administration Cabinet. **Expenditures made prior to the “effective date” of the MOA are not allowable charges.**

**Application**

**Cover Page**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Regional Collaborative Name: Click or tap here to enter text. Total Pages: Click or tap here to enter text.  Counties Served: Click or tap here to enter text. Total Membership: Click or tap here to enter text.   |  |  |  |  | | --- | --- | --- | --- | | Chair Name |  | Co-Chair Name |  | | Chair Address |  | Co-Chair Address |  | | Chair  Phone Number |  | Co-Chair  Phone Number |  | | Chair Email |  | Co-Chair Email |  |   Fiscal Agent Name:Click or tap here to enter text.  Organization:Click or tap here to enter text. Organization Type Click or tap here to enter text.  Tax ID (Federal): Click or tap here to enter text. Tax ID (State): Click or tap here to enter text. 501(c)3 Yes\_\_\_\_\_\_\_\_ No\_\_\_\_\_\_\_\_  Address: Click or tap here to enter text.  Phone: Click or tap here to enter text.  Email: Click or tap here to enter text.  The undersigned agree to ensure the funds awarded by this grant will be used to complete the plans outlined in this application and achieve School Readiness for children in the service area.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Regional Collaborative Chair Regional Collaborative Co-Chair  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Regional Collaborative Fiscal Agent Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. Service Area Needs Assessment Table

Please use the following table to indicate the data driven needs in your Regional Collaborative. For each county, please indicate at least one need that will be addressed in the current application.

|  |  |  |  |
| --- | --- | --- | --- |
| The experiences children have from birth to age five lay the foundation for school readiness  **Ready Families**  Families provide safe, loving home environments.  **Ready Communities**  Communities provide access to high quality early child care, health, housing, family support programs, and other resources for families  **Ready Schools**  Schools help children and families transition into kindergarten and provide support for every child to be successful. | | | |
| **County** | **Strategy** | **Description** | **Need** |
| Name | * Ready Families * Ready Communities * Ready Schools | Use up to 100 words to describe the need; cite evidence/research | Data can include the Early Childhood Profile, or existing county reports |
| *Example:*  *Sample County* | *Example*  *Ready Families* | *Example*  *During early childhood, young children experience rapid growth and opportunity for optimal language and literacy development. Families and caregivers play a significant role during these developmental stage and community partners can provide on-going education, support, and a collection of activities over time to foster positive learning environments at home. According to information provided by Save the Children, in Eastern Kentucky:*   * *90% of 3-year-olds*   *85% of 5-year-olds enrolled in Early Steps exceeded vocabulary standards.* | *Example*   * *According to the Early Childhood Profile, our county only had 42% of eligible Kindergarteners enrolled in Public Preschool or Head Start.* |
|  |  |  |  |
|  |  |  |  |

1. Action Plan Worksheet

Applicants shall develop **Action Plans** to describe strategies, investments, outputs, and outcomes, using the excel document found [here](file:///C:/Users/megan.greenwell/Documents/Action%20Plan%20Worksheet%20_%20RFA%202022.xlsx).

**As a reminder, the Kentucky Governor’s Office of Early Childhood strongly recommends the use of data-driven Kentucky Bright Spots strategies which can be found on Page 28.**

See the list of **Bright Spots listed in Appendix C** on Page 28. If your collaborative/community are implementing innovative strategies and you have proven results please reach out to us. Our goal is to grow Kentucky Bright Spots as data driven strategies that ensure more children in Kentucky have a strong start.

Goals set forth in the Action Plan should be written in the SMART format explained below**.  *If goals are not written as a SMART goal –the application will be returned for revisions with technical assistance to achieve success from the beginning.***

**SMART Goals:**

Table

Description automatically generatedOne tip to help write a SMART goal is to think about what success looks like, in the form of an outcome statement.

For example:

By (Month and Year), at least XXX% of the target population will experience or document XXX (condition), as assessed using XXX (data).

Is your SMART goal specific enough to deliver this outcome? Is the outcome aggressive yet achievable? Does it represent meaningful progress for your community? In addition, think about:

* Dates—how far in the future is your goal? How did you determine this date?
* Targets—are you intending your goal for all under-or un-served young children (providers, parents) or a sub-population? If the latter, why?
* What data exist to help you confirm your success? What can you do if the data you need don’t yet exist?

1. Budget Proposal Worksheet

Please document your proposed use of grant funds using the Budget Proposal Worksheet found [here](file:///C:/Users/megan.greenwell/Documents/Budget%20Template%20_%20RFA%202022.xlsx). **Base your budget on the Maximum Grant Award Amount by County times three** listed in Appendix B.

Example:

|  |  |  |
| --- | --- | --- |
| **Regional Collaborative** | **When** | **Amount Awarded** |
| Regional Collaborative ABC (3 active counties)  County 1 – Maximum Amount = 5,000  County 2 – Maximum Amount = $6,200  County 3 – Maximum Amount = 7,400  Regional Collaborative ABC Maximum Amount = $18,600 | July 2022 | Maximum Amount (x3)  County 1 = $15,000  County 2 = $18,600  County 3 = $22,200  **Regional Collaborative will receive $55,800** |
| Regional Collaborative ABC (3 counties)  County 1 – Maximum Amount = 5,000  County 2 – Maximum Amount =$6,200  County 3 – Maximum Amount = 7,400  Regional Collaborative ABC Maximum Amount = $18,600 | July 2023 | Maximum Amount (x3)  County 1 = $15,000  County 2 = $18,600  County 3 = $22,200  **Regional Collaborative will receive $55,800** |

*Note: You can only include the counties within your collaborative that are actively participating.*

**Conflict of Interest Statement** (All members of the collaborative must complete this form – electronic signatures accepted).

I, the undersigned, acknowledge and confirm that in carrying out my duties as a director, officer, or member of The Governor’s Office of Early Childhood Regional Collaborative, a non-profit organization, I am charged with a duty of loyalty and fiduciary accountability to the collaborative. To this end, I acknowledge my responsibilities to act in the course of my duties solely in the best interest of the collaborative, without consideration of the interests of any other person or organization and to refrain from taking part in any transaction where I do not believe in good faith that I can with undivided loyalty to the Regional Collaborative.

I shall disclose to the Regional Collaborative any potential conflict of interest I may have from time to time, including the identification of any material, financial or other beneficial interest held by me or by my immediate family in organizations engaged in the same business or services as the collaborative or engaged in the delivery of products or services to the CECC. I shall also disclose any transaction with the collaborative which would result in any benefit to me, my immediate family, or any organization in which I have a material financial or other beneficial interest or involvement, and I shall refrain from participation in any action on such matters, except to the extent permitted by the Conflict-of-Interest Policy.

I shall not disclose or exploit for any personal advantage, or for the advantage of any other organization in which I have an interest or involvement, any confidential information acquired by me regarding collaborative business activities or plans. Further, I will abstain from collaborative votes impacting organizations in which I have interest or involvement.

Pursuant to the above, I hereby report that I have an interest or involvement as an owner, partner, director, trustee, officer, employee, or agent of, or that I or my spouse, parents, children, or spouses of children, brothers or sister, or spouses of brothers or sister or any combination of them, have a material financial interest (greater than 5% ownership) or any other beneficial interest in:

1. The following organizations engaged in the same or substantially similar businesses or services as the Regional Collaborative.

a. Name: Click or tap here to enter text.

Interest or Involvement Click or tap here to enter text.

b. Name:Click or tap here to enter text.

Interest or Involvement Click or tap here to enter text.

2. The following organizations engaged in the delivery of products or services to the corporation:

c. Name:Click or tap here to enter text.

Interest or InvolvementClick or tap here to enter text.

d. Name:Click or tap here to enter text.

Interest or Involvement Click or tap here to enter text.

I assume the duty of notifying the Regional Collaborative, in writing, of any changes in or additions to the information disclosed in this Disclosure Statement.

Signature: ­­­ Date:Click or tap here to enter text.

Printed Name: Click or tap here to enter text.

**Commitment to Reporting** (This is to be completed by the Chair and Fiscal Agent)

I, the undersigned, acknowledge and confirm that in carrying out my duties as a **chair or fiscal agent** for The Governor’s Office of Early Childhood Regional Collaborative, a non-profit organization, I am charged with submitting mid-year and year-end reports to the Kentucky Governor’s Office of Early Childhood. To this end, I acknowledge my responsibilities to act in the course of my duties and submit the required reports in the format and the timeframe outlined by the Office of Early Childhood.

Signature:

*Regional Collaborative Chair*

Printed Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:

Signature:

*Regional Collaborative Fiscal Agent*

Printed Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:

**Regional Collaborative Member List**

**The Member List is due with the submission of the application.**

* Per KRS 200.707, “A council shall be composed of no fewer than seven (7) and no more than twenty-seven (27) members.
* Each council shall be composed of:
  + At least one (1) member representing local agencies or organizations from profit, nonprofit or family child care;
  + Head Start or Early Head Start; and
  + Each school district in its designated service area.
* Other members may be appointed who represent local agencies and organizations, including, but not limited to, the organizations or agencies listed: Early childhood advocate; Faith community; Family resource center; Military establishment; Child-care resource and referral agency or child-care subsidy agent; Child-care consumer or parent; County cooperative extension service; Department for public health; University, college, or technical school; United Way; Kentucky Early Intervention System; Agency administering services to children with disabilities; Home visitation agency; Family literacy agency; Civic organization; Public library; Regional training center; Community action agency; Government; Business community; Home schooling association; Health care professional; Foster care parent; or Adoptive parent.”
* In accordance with KRS 200.707(2), “Members shall serve on a community early childhood council on a voluntary basis and receive no compensation or expense reimbursement for their service.”

**Please submit the Final Members List using the template –found** [**here**](file:///C:/Users/megan.greenwell/Desktop/Member%20List%20Template%20_%20RFA%202022.xlsx)**.**

**The list must be provided using the provided template.**

**Resources and Strategies to Scale and Sustain a High-Quality Impact Strategy**

**Office Hours, Technical Assistance and Peer Support Connections**

The Governor’s Office of Early Childhood provides “office hours” and individualized technical assistance for all Regional Collaboratives. Governor’s Office of Early Childhood staff and consultants are available to provide training, consultation, and support to Regional Collaboratives to facilitate strong implementation, evaluation and data collection and sustainability planning. We will also help connect collaboratives to each other to build from and share experience, lessons learned, and best practices.

**Webinars**

The Kentucky Governor’s Office of Early Childhood provides free webinars (live or recorded) every other month to support impact and innovation in early childhood education.  The goal of these webinars is to support solution-oriented discussions, highlight and grow excellence in the field, provide a space for peer-to-peer discussion and support, an opportunity for counties to share and learn from one another, and to build capacity and train new leaders. Participation by the collaborative in these training and technical assistance opportunities is highly encouraged. Our goal is for webinar attendees to share the recording and any lessons learned with any collaborative members who are not able to attend. A link to the recording and a copy of the PowerPoint materials will be sent to all attendees and we highly encourage you to share with your collaborative team members.

**Early Childhood Institute**

The Kentucky Governor’s Office of Early Childhood provides Early Childhood Institutes throughout the year that provide training, resources, and knowledge tailored to a particular topic, professional role, or support for early care and educational practitioners, leaders, and partners. The Early Childhood Institutes provide networking opportunities and peer to peer support. Attendance from the Regional Collaborative members is highly encouraged; especially at the Annual Early Childhood Institute which is held in June.

**Collaboration Efforts: (Per Statue 200.707)**

“A community early childhood council shall collaborate with the District Early Intervention Committee, the Preschool Interagency Planning Council, and other existing interagency groups in the service area.”

Regional Collaboratives should collaborate with partners and stakeholders to develop programs that are successful and sustainable over time. Sustainability planning involves developing a shared vision and goals, aligning with other strategies, and funding streams, engaging key champions and stakeholders and consistent messaging and communications.

**Sustainability Planning**

We hope that a two-year grant process combined with ongoing support from the Governor’s Office of Early Childhood will lead to collaborative plans to maintain or enhance initiatives well into the future. Documentation that could support this includes:

* Letters of commitment
* Memorandum of Understanding (MOU)
* Sustainability Plan
* Grant Applications to other funders

**The Governor’s Office of Early Childhood will request evidence on quarterly and annual reports of ongoing sustainability planning that could include these documents and other documents and will work closely with collaboratives on this process.**

**Continuous Improvement**

Utilize data provided by KY STATS and The Governor’s Office of Early Childhood to continuously improve strategy implementation and scaling and to ensure more children in Kentucky enter school prepared. Collect and utilize feedback from stakeholders, families, professionals, and community partners, to inform and improve your strategies over time. Facilitate discussions to review and reflect on community data and stakeholder feedback.

:

**APPENDIX A**

**List of Eligible Regional Collaboratives**

The following list identifies the Regional Collaboratives that are eligible to apply.

Regional Collaboratives are identified within each workforce area, and each column delineates a Regional Collaborative (for example, Fayette is the first collaborative in the Bluegrass Region, and the second collaborative includes Anderson, Franklin, Woodford, and Jessamine counties).

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Region: Bluegrass** | | | | | |
| Fayette | Anderson  Franklin  Woodford  Jessamine | Bourbon  Scott  Harrison  Nicholas | Madison  Estill  Clark  Powell  Montgomery | Boyle  Mercer | Garrard  Lincoln |
| **Region: EKCEP** | | | | | |
| Bell  Clay  Harlan  Jackson | Breathitt  Lee  Owsley  Wolfe | Floyd  Pike  Magoffin | Menifee  Morgan | Johnson  Lawrence  Martin | Leslie  Knott  Perry  Letcher |
| **Region: KentuckianaWorks** | | | | | |
| Jefferson | Bullitt  Henry  Shelby  Spencer  Trimble  Gallatin  Carroll | Oldham |  |  |  |
| **Region: Northern KY** | | | | | |
| Boone  Campbell  Kenton  Pendleton | Grant  Owen |  |  |  |  |
| **Region: TENCO** | | | | | |
| Bath  Fleming  Rowan  Robertson | Bracken  Mason  Lewis | Boyd  Greenup  Carter  Elliot |  |  |  |
| **Region: Cumberlands** | | | | | |
| Adair  Taylor  Green | Russell  Cumberland  Clinton | Casey  Pulaski  Rockcastle | Wayne  McCreary | Knox  Laurel  Whitley |  |
| **Region: Green River** | | | | | |
| Henderson  Daviess  Hancock  Ohio | Webster  Union  McLean |  |  |  |  |
| **Region: Lincoln Trail** | | | | | |
| Washington  Nelson  Marion  LaRue | Breckinridge  Grayson  Hardin  Meade |  |  |  |  |
| **Region: South Central** | | | | | |
| Warren  Butler  Edmonson | Barren  Metcalfe  Monroe  Hart | Allen  Logan  Simpson |  |  |  |
| **Region: WKY** | | | | | |
| Ballard  Carlisle  Fulton  Hickman | Graves  Marshall  Calloway  McCracken | Hopkins  Muhlenberg | Todd  Christian  Trigg | Crittenden  Livingston  Caldwell  Lyon |  |

**APPENDIX B**

Maximum Award Chart by County

|  |  |  |  |
| --- | --- | --- | --- |
| ***County*** | ***Under Age 5 Population*** | ***Under Age 5 in Poverty*** | ***2022-2024 (FY 23 -24) Potential Maximum***  ***Award Amount*** |
| Adair County, Cumberlands | 1059 | 283 | $6,400 |
| Allen County, South Central | 1253 | 516 | $8,700 |
| Anderson County, Bluegrass | 1384 | 213 | $6,400 |
| Ballard County, West Kentucky | 396 | 123 | $3,700 |
| Barren County, South Central | 2905 | 1159 | $17,400 |
| Bath County, TENCO | 828 | 332 | $6,400 |
| Bell County, EKCEP | 1565 | 989 | $13,400 |
| Boone County, Northern Kentucky | 8606 | 1153 | $28,300 |
| Bourbon County, Bluegrass | 1226 | 414 | $7,800 |
| Boyd County, TENCO | 2631 | 916 | $14,800 |
| Boyle County, Bluegrass | 1605 | 400 | $8,400 |
| Bracken County, TENCO | 491 | 129 | $4,000 |
| Breathitt County, EKCEP | 747 | 403 | $6,800 |
| Breckinridge County, Lincoln Trail | 1270 | 283 | $6,800 |
| Bullitt County, Kentuckianaworks | 4328 | 705 | $16,300 |
| Butler County, South Central | 798 | 318 | $6,200 |
| Caldwell County, West Kentucky | 738 | 108 | $4,300 |
| Calloway County, West Kentucky | 2022 | 528 | $10,300 |
| Campbell County, Northern Kentucky | 5431 | 988 | $20,800 |
| Carlisle County, West Kentucky | 321 | 110 | $3,500 |
| Carroll County, KentuckianaWorks | 789 | 459 | $7,400 |
| Carter County, TENCO | 1724 | 588 | $10,300 |
| Casey County, Cumberlands | 1036 | 323 | $6,700 |
| Christian County, West Kentucky | 6882 | 1686 | $29,500 |
| Clark County, Bluegrass | 2202 | 412 | $9,700 |
| Clay County, EKCEP | 1184 | 654 | $9,800 |
| Clinton County, Cumberlands | 612 | 170 | $4,600 |
| Crittenden County, West Kentucky | 471 | 115 | $3,800 |
| Cumberland County, Cumberlands | 404 | 58 | $3,200 |
| Daviess County, Green River | 6827 | 2082 | $32,800 |
| Edmonson County, South Central | 584 | 168 | $4,500 |
| Elliott County, TENCO | 344 | 131 | $3,700 |
| Estill County, Bluegrass | 765 | 330 | $6,200 |
| Fayette County, Bluegrass | 19525 | 5291 | $50,000 |
| Fleming County, TENCO | 907 | 338 | $6,600 |
| Floyd County, EKCEP | 2092 | 981 | $14,300 |
| Franklin County, Bluegrass | 2842 | 884 | $15,000 |
| Fulton County, West Kentucky | 402 | 233 | $4,700 |
| Gallatin County, KentuckianaWorks | 565 | 102 | $3,900 |
| Garrard County, Bluegrass | 974 | 281 | $6,200 |
| Grant County, Northern Kentucky | 1884 | 433 | $9,200 |
| Graves County, West Kentucky | 2516 | 606 | $12,000 |
| Grayson County, Lincoln Trail | 1598 | 642 | $10,500 |
| Green County, Cumberlands | 609 | 146 | $4,300 |
| Greenup County, TENCO | 1876 | 497 | $9,800 |
| Hancock County, Green River | 601 | 112 | $4,000 |  |
| Hardin County, Lincoln Trail | 7362 | 1863 | $32,000 |
| Harlan County, EKCEP | 1735 | 805 | $12,100 |
| Harrison County, Bluegrass | 1164 | 352 | $7,200 |
| Hart County, South Central | 1324 | 451 | $8,300 |
| Henderson County, Green River | 2758 | 1067 | $16,300 |
| Henry County, Kentuckianaworks | 949 | 216 | $5,600 |
| Hickman County, West Kentucky | 205 | 33 | $2,600 |
| Hopkins County, West Kentucky | 2650 | 811 | $14,000 |
| Jackson County, EKCEP | 860 | 339 | $6,500 |
| Jefferson County, Kentuckianaworks | 48326 | 11453 | $50,000 |
| Jessamine County, Bluegrass | 3481 | 909 | $16,400 |
| Johnson County, EKCEP | 1203 | 384 | $7,500 |
| Kenton County, Northern Kentucky | 11218 | 2412 | $44,000 |
| Knott County, EKCEP | 841 | 443 | $7,300 |
| Knox County, Cumberlands | 1962 | 1085 | $15,000 |
| LaRue County, Lincoln Trail | 804 | 154 | $4,800 |
| Laurel County, Cumberlands | 3739 | 1488 | $21,800 |
| Lawrence County, EKCEP | 974 | 460 | $7,700 |
| Lee County, EKCEP | 406 | 289 | $5,200 |
| Leslie County, EKCEP | 599 | 198 | $4,800 |
| Letcher County, EKCEP | 1318 | 733 | $10,700 |
| Lewis County, TENCO | 737 | 264 | $5,600 |
| Lincoln County, Bluegrass | 1658 | 594 | $10,200 |
| Livingston County, West Kentucky | 508 | 54 | $3,400 |
| Logan County, South Central | 1739 | 282 | $7,700 |
| Lyon County, West Kentucky | 290 | 61 | $3,000 |
| Madison County, Bluegrass | 5218 | 1362 | $23,600 |
| Magoffin County, EKCEP | 729 | 279 | $5,700 |
| Marion County, Lincoln Trail | 1219 | 263 | $6,500 |
| Marshall County, West Kentucky | 1687 | 388 | $8,500 |
| Martin County, EKCEP | 533 | 181 | $4,500 |
| Mason County, TENCO | 1054 | 300 | $6,500 |
| McCracken County, West Kentucky | 3973 | 961 | $17,800 |
| McCreary County, Cumberlands | 1036 | 573 | $8,800 |
| McLean County, Green River | 530 | 119 | $4,000 |
| Meade County, Lincoln Trail | 1519 | 299 | $7,400 |
| Menifee County, EKCEP | 338 | 81 | $3,300 |
| Mercer County, Bluegrass | 1284 | 365 | $7,500 |
| Metcalfe County, South Central | 625 | 214 | $5,000 |
| Monroe County, South Central | 675 | 350 | $6,200 |
| Montgomery County, Bluegrass | 1869 | 492 | $9,700 |
| Morgan County, EKCEP | 654 | 284 | $5,600 |
| Muhlenberg County, West Kentucky | 1729 | 446 | $9,100 |
| Nelson County, Lincoln Trail | 2844 | 617 | $12,700 |
| Nicholas County, Bluegrass | 463 | 245 | $4,900 |
| Ohio County, Green River | 1464 | 586 | $9,700 |
| Oldham County, Kentuckianaworks | 3370 | 169 | $9,800 |
| Owen County, Northern Kentucky | 551 | 120 | $4,000 |
| Owsley County, EKCEP | 299 | 108 | $3,400 |
| Pendleton County, Northern Kentucky | 862 | 192 | $5,200 |
| Perry County, EKCEP | 1754 | 533 | $9,800 |
| Pike County, EKCEP | 3071 | 1422 | $20,000 |
| Powell County, Bluegrass | 837 | 169 | $5,000 |
| Pulaski County, Cumberlands | 3698 | 1276 | $19,900 |
| Robertson County, TENCO | 108 | 74 | $2,800 |
| Rockcastle County, Cumberlands | 897 | 347 | $6,600 |
| Rowan County, TENCO | 1339 | 624 | $9,800 |
| Russell County, Cumberlands | 1082 | 398 | $7,400 |
| Scott County, Bluegrass | 3672 | 731 | $15,200 |
| Shelby County, Kentuckianaworks | 3006 | 583 | $12,700 |
| Simpson County, South Central | 1206 | 452 | $8,100 |
| Spencer County, Kentuckianaworks | 1032 | 78 | $4,600 |
| Taylor County, Cumberlands | 1624 | 534 | $9,600 |
| Todd County, West Kentucky | 861 | 245 | $5,700 |
| Trigg County, West Kentucky | 793 | 276 | $5,800 |
| Trimble County, Kentuckianaworks | 485 | 204 | $4,600 |
| Union County, Green River | 744 | 222 | $5,300 |
| Warren County, South Central | 8460 | 2343 | $38,200 |
| Washington County, Lincoln Trail | 799 | 205 | $5,200 |
| Wayne County, Cumberlands | 1118 | 467 | $8,100 |
| Webster County, Green River | 872 | 334 | $6,500 |
| Whitley County, Cumberlands | 2824 | 805 | $14,200 |
| Wolfe County, EKCEP | 442 | 185 | $4,400 |
| Woodford County, Bluegrass | 1534 | 360 | $7,900 |

Source: U.S. Census. (2019). Annual estimates of resident population by selected age groups 2010-2018.

\* Calculations were run based on the formula with all counties. Two counties exceeded the $50,000 cap, and once identified, the formula was run again with these two counties removed to distribute the remaining funds per the formula.

**Home Visitation**

Kentucky’s home visitation program serves pregnant mothers through age three when the child is enrolled by three months of age. In 2015, Health Access Nurturing Development Services (HANDS) met criteria from the U.S. Department of Health and Human Services as an evidence-based model.

**Building Vocabulary**

In Eastern Kentucky, 90% of 3-year-olds and 85% of 5-year-olds enrolled in a program called Early Steps to exceed vocabulary standards.

**Monitoring Growth, Learning, and Development**

In Louisville, children who completed four or more Ages and Stages Questionnaires ®, a developmental screener, were nearly six times more likely to be ready for kindergarten than those who completed only one, controlling for demographic characteristics and developmental level (OR=5.70, p=0.06).

**Developing Early Literacy**

Close to 30% of Kentucky’s Community Early Childhood Councils invest in the Dolly Parton Imagination Library. A study from Shelby County, Tennessee shows that participation is significantly associated with increased scores in skill areas like language and math development. In Wayne County, Kentucky of the 52% of children identified as participants in the Dolly Parton Imagination Library, 86% entered kindergarten prepared according to Wayne County Public Schools.

**High Quality Public Pre-K and Head Start**

Across Kentucky, income eligible preschool students were significantly more likely to be kindergarten ready. Head Start Students, on average, outscored eligible students who were not enrolled. During Academic Year 2018, 53,000 children were eligible for Public Pre-K and/or Head Start and an additional 19,000 eligible children could have been enrolled.

**Transition to Kindergarten**

In Northern Kentucky, 60% of children who entered school where they used best practice transition strategies entered school prepared compared to the state average of 51.1% and county average of 54% (Boone 56.2%, Campbell 54.6, and Kenton 52.2%).

**Blending Funding to Better Serve Families**

In Southeastern Kentucky, Public Pre-K collaborated with private child care to coordinate and strengthen services for families. Data indicates that children who participate in Public Pre-K half-day and the extended-day private child care for the other part of the day enter kindergarten better prepared

In 2017, data show 63% of children entered kindergarten prepared compared to 50% of the state and 44.8% in the district.

In 2018, data show, 81% of children entered kindergarten prepared compared to 51% of the state and 45.6% in the district.

**Appendix C**

**Bright Spots across the Commonwealth**

*How data-driven strategies are ensuring that more children in Kentucky have a strong start.*

*It is important to note that this finding approached statistical significance at the p<0.06 level. Given the relatively small comparison and intervention sample (n=31 and n=63, respectively), these findings should be interpreted with caution. A larger sample size is needed to confirm these results.*

Beckett, J. (n.d.). *An investigation of the relationship between the Imagination Library program and kindergarten literacy.*

**Appendix D**

**Invoice Template**

Name, Address and Contact Information of Fiscal Agent:

Regional Collaborative Name:

Contract #: Contract Start Date:

Total Amount Request:

Remit Payment:

Contact Name:

Fiscal agent address:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Fiscal Agent Signature Governor’s Office of Early Childhood